

**NEXTOR** Annual Research Symposium

November 14, 1997

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# Session I

## Safety and Security

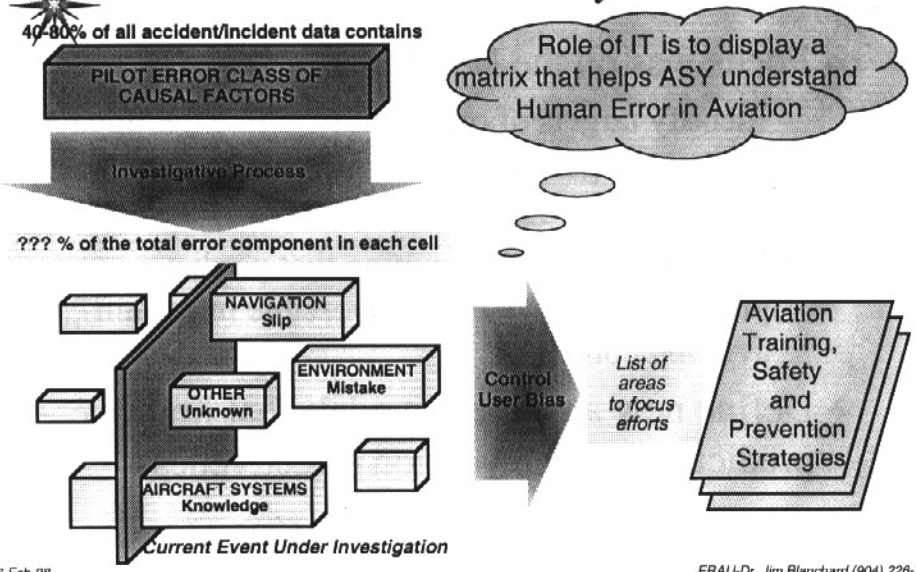
GAIN/Integration Tool  
James Blanchard, Embry-Riddle

# *Flight Crew Human Factors Data (Integration Tool) NEXTOR Task 1*

Embry Riddle Aeronautical University  
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A portion of this work has been performed under a sub-award from the Regents of the University of California as part of the *National Center of Excellence for Aviation Operations Research (NEXTOR)*. Additional work has been performed under agreement with USAirways and Embry Riddle Aeronautical University's flight training and safety personnel.

## *Human Factors Analysis Process*



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## *Task 1a: IT Demonstrations and Evaluations*



- ◆ The IT is on the intranet at ERAU
- ◆ Numerous demonstrations have been conducted for industry
- ◆ US Navy, US Air Force and various civilian users have requested Internet access and been briefed on the system capabilities
- ◆ IT was used to conduct limited analysis of the NTSB “domain classifications” seeking to pinpoint the safety “target areas”

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## *Task 1b: Analysis Methods*



- ◆ Focus was on the NTSB data
  - ◆ Identify targets of opportunity where the impact is likely to generate measurable improvements in safety
  - ◆ Move forward on the notion that predicting and preventing human error is possible in certain aviation contexts
- ◆ Define new analytical models that improve prediction and prevention effectiveness

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## *Task 1b: Analysis Methods - continued*



- ◆ Prevention strategies can be developed by the user based on an analysis of the patterns in the matrices, i.e., “categorization”
- ◆ Statistical techniques were applied to show that matrix “cell-magnitude” can be used to:
  - ◆ Determine relative importance, and
  - ◆ Identify trends in the corresponding “domain/error” categorization
- ◆ Quantify data for operational risk assessment

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## *Task 1c: Flight Crew Training Needs Assessment Processes*



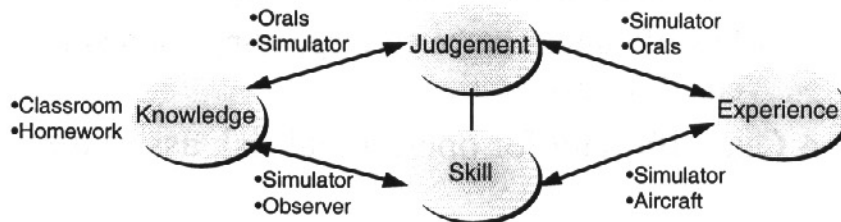
- ◆ Study the initial training and certification of airmen looking for systemic problems
- ◆ Use IT to track results of training where the organization has a model for determining pilot performance and data is readily available
- ◆ Use IT to implement “data use” strategies that help the airline industry migrate toward the effective use of next-generation human performance data

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## Task 1c: Identifying Strategies Using IT and Data

- ◆ JESK principles state that knowledge is a foundation (pre-requisite) element
- ◆ Skills are observable, and consist of some judgement
- ◆ Judgement is not observable, while effects may be...
- ◆ Experience comes after both skill and judgement

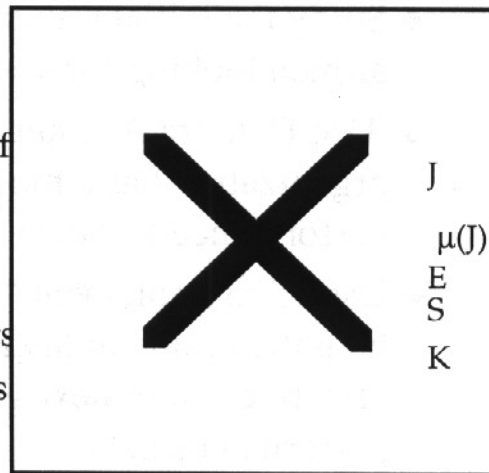


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## Task 1c: IT Identifies Issues in GA Training Needs Assessment

- ◆ IT shows “see and avoid” is still a problem...
- ◆ Define issues that are inherent in the training of traffic pattern operations
- ◆ Identifying the need to improve the “system” requires preventing errors
- ◆ Focusing on the specifics of getting the pilot to “see” outside



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## *Task 1c: Air Carrier Training Needs Assessment*

- ◆ Partnerships with USAirways and the ATA Data Management Committee:
  - ◆ Developed a methodology for collecting data suitable for:
    - ◆ AQP assessment
    - ◆ Error analysis
  - ◆ Potential role of IT to support data collection activities related to:
    - ◆ Post-flight analysis by the crews and evaluators
    - ◆ Training operations research

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## *Next Steps*

- ◆ Improve the ability of the user to specify the search criteria relative to their own data
- ◆ Include technical and philosophical documentation with the IT distribution
- ◆ Provide on-site training for the IT users
- ◆ Improve collaboration between users through the use of IT to perform comparative analyses across different data sets

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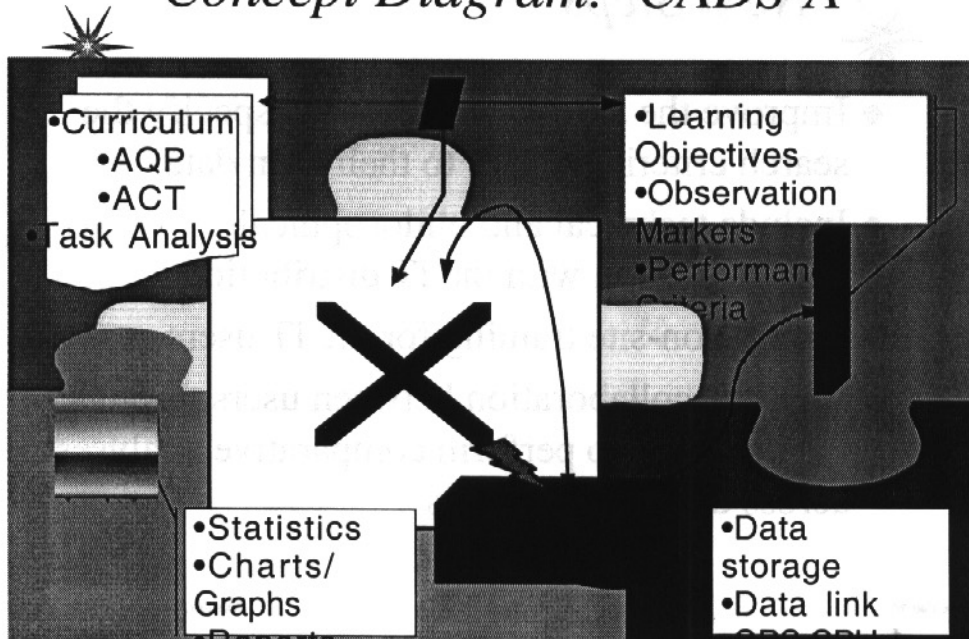


## *Supporting Slides*

*To accompany the briefing for FAA  
Office of System Safety*

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## *Concept Diagram: CADS-A<sup>3</sup>*



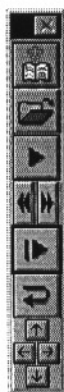
# *The Big Picture*



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# *Improving the Data and the Usefulness of the Data Collected*



- ◆ Tools to improve the pre- and post-flight briefings
- ◆ In-flight tracking of the observable behaviors improves the data quality
- ◆ Analysis and self-critique tools are applied using the IT

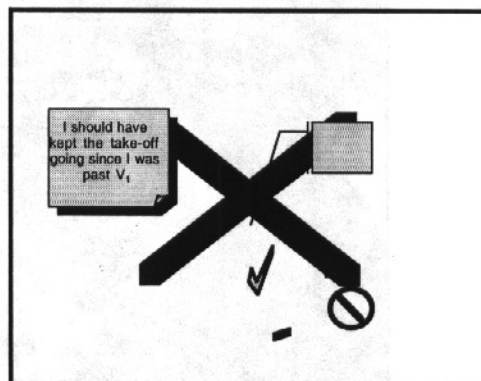
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## *Usefulness in Pilot Training: Immediate and Accurate Replay*

- ◆ Pilots can use this view to see the flight, hear the in-flight conversation, and enter a self-critique of the flight
- ◆ Data from the flight is put in an analysis model that is used to generate immediate feedback



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## *Analytical Techniques for Training Centers and Analysts*

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