

# Hamiltonians for Collectives

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## From Biology – Allelomimesis – to Control

**Allelomimetic behavior** – activities in which performance of a behavior increases probability of that behavior being performed by other **nearby** animals (Wikipedia)

**Examples** – switching activity, initiation of movement, **vigilance bouts**, schooling, flocking

**Function** – there are benefits to social animals in behaving in a similar manner to others within their group; synchrony at a fine scale helps in achieving greater cohesion

Allelomimesis is **autocatalytic** – positive feedback in the form of others copying me copying ...

Can we construct **optimal control** problems for collectives with solutions interpretable as **allelomimesis**?

Can **symmetry-reduction** techniques be used in finding solutions?

Are there useful hidden symmetries?



Hamilton  
1805-1865



Pontryagin  
1908-1988



Lie  
1842-1899



Noether  
1882-1935

## References and the Path Ahead

EWJ and PSK (2011), Optimal Natural Frames, *Comm. Info. and Syst.*, **11**(1):17-34.

EWJ and PSK (2014), Optimality, Reduction and Collective Motion, *Proc. R. Soc. A*, DOI: 10.1098/rspa.2014.0606, online 1 April 2015

Proccacini et. al. (2011), Propagating waves in starling, *Sturnus vulgaris*, flock under predation, *Animal Behaviour*, **82**, 759-765.

### Understand Information Transfer in flocks

G. Beauchamp,  
*Social Predation* (2014)



FIGURE 3.10 A murmuration of European starlings. Waves of turning in tight flocks of starlings propagate as waves, which are thought to deter predation from birds of prey. Photo credit: Muffin.

## Models, Cost Functionals, Collective Optimal Control & Hamiltonian from Maximum Principle

Minimize  $\mathcal{L} = \int_0^T L(\xi_1(t), \dots, \xi_N(t)) dt$   
subject to:  $\xi_1, \xi_2, \dots, \xi_N$

Controlled Dynamics:  $\dot{g}_k = g_k \xi_k, g_k \in G$

Fixed Endpoints:  $g_k(0) = g_{k0}, g_k(T) = g_{kT}, k = 1, \dots, N$

- Lagrangian:  $L(\xi_1, \dots, \xi_N) = \frac{1}{2} \left( \sum_{k=1}^N |\xi_k|^2 + \chi \sum_{k=1}^N \sum_{j=1}^N a_{kj} |\xi_k - \xi_j|^2 \right)$

graph **adjacency** matrix

graph **Laplacian** matrix

- $\chi > 0$  is a constant

- Trace norm:  $|\xi|^2 = \text{tr}(\xi^T \xi), \xi \in \mathfrak{g}$

- Inner product:  $\langle \xi, \eta \rangle = \text{tr}(\xi^T \eta), \xi, \eta \in \mathfrak{g}$

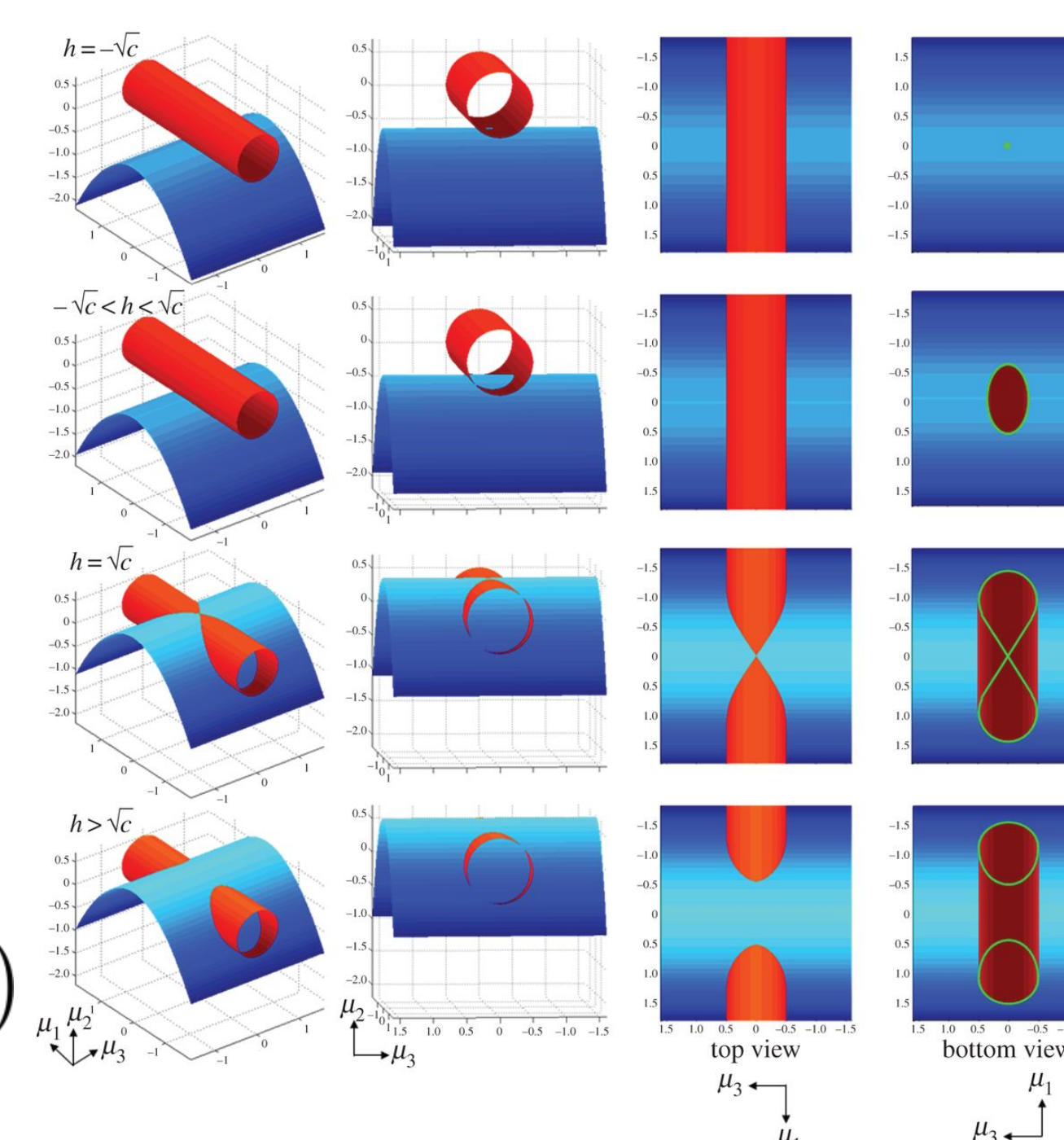
- Moreover,  $\sum_{k=1}^N \sum_{j=1}^N a_{kj} |\xi_k - \xi_j|^2 = 2 \sum_{k=1}^N \sum_{j=1}^N b_{kj} \langle \xi_j, \xi_k \rangle$  (via symmetry of  $A$ )

(1)

$$H = \sum_{k=1}^N \mu_{kq} + \frac{1}{2} [\tilde{\mu}_1^T \quad \dots \quad \tilde{\mu}_N^T] \Psi \begin{bmatrix} \tilde{\mu}_1 \\ \vdots \\ \tilde{\mu}_N \end{bmatrix} - \frac{1}{2} N,$$

Special case of SE(2) – planar self-steering particles

$$\dot{\xi}_k = X_2 + u_k X_1, \quad k = 1, \dots, N,$$



The  $\chi \rightarrow \infty$  limit

$$\Psi_\infty = \lim_{\chi \rightarrow \infty} (\mathbb{I}_N + 2\chi P \tilde{B} P^T)^{-1} = P \left[ \lim_{\chi \rightarrow \infty} (\mathbb{I}_N + 2\chi \tilde{B})^{-1} \right] P^T$$

$$= P \text{diag}(1, 0, \dots, 0) P^T = \left( \frac{1}{\sqrt{N}} \mathbf{1}_N \right) \left( \frac{1}{\sqrt{N}} \mathbf{1}_N \right)^T,$$

$$\ddot{\alpha}_1 - \frac{h_\alpha}{2} \alpha_1 + \frac{1}{4} \alpha_1^3 = 0.$$

$$u_k = \frac{1}{N} \sum_{j=1}^N \mu_{j1} = \alpha_1, \quad k = 1, \dots, N,$$